

STATE OF UTAH
DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING
APPLICATION FOR LICENSURE
PHARMACIST

APPLICATION INSTRUCTIONS AND INFORMATION

General Statement: The Utah Division of Occupational and Professional Licensing (DOPL) desires to provide courteous and timely service to all applicants for licensure. To facilitate the application process, **submit a complete application form including all applicable supporting documents and fees.** Failure to submit a complete application and supply all necessary information will delay processing and may result in denial of licensure. The fees are for processing your application and will not be refunded. **Please read all instructions carefully.**

Address of Record: The address you provide on this application will be your address of record. All correspondence from DOPL will be sent to that address. You are responsible to directly notify DOPL of any change to your address of record. Do not rely on a forwarding order.

Social Security Number: Your social security number is classified as a private record under the Utah Government Records Access and Management Act. It is used by DOPL as an individual identifier. It is also used for child support enforcement pursuant to Subsection 78-32-17(3) and is mandatory pursuant to Subsection 58-1-301(1), Utah Code Ann., which implements 42 U.S.C. 666(a)(13). If an SSN is not provided, the application is incomplete and may be denied.

SUPPORTING DOCUMENTS AND FEES:

Note: An application is valid for up to six (6) months. If you fail to meet all licensure requirements within six months of submission of your application, you will be required to resubmit an application, including all applicable fees.

OPTION A. If you are requesting examination eligibility for initial licensure (i.e. you have never been licensed as a pharmacist in any state and need to take the NAPLEX and the Utah MPJE), complete the following in addition to submitting a completed application:

1. Submit an official transcript including your date of graduation and degree earned from a pharmacy school accredited by the Accreditation Council on Pharmaceutical Education.

NOTE 1: A letter of completion from your school **does not** satisfy this requirement.

NOTE 2: Have the school send the transcript directly to DOPL. You may also have the school send the transcript to you for inclusion with your application so long as it is in a sealed envelope, bearing the school's stamp/seal on the envelope flap.

2. If your pharmacy education was completed at a foreign pharmacy school, submit a copy of your certificate of equivalency from the Foreign Pharmacy Graduate Examination Committee (FPGEC) of the NABP Foundation. See #4 of "Additional Important Information."

3. Obtain passing scores on the NAPLEX and the Utah MPJE.

NOTE: Full instructions on completing this requirement are listed in #6 of “Additional Important Information.” You must follow the steps exactly as outlined. Failure to do so will significantly delay the processing of your application and issuance of your Utah license.

4. Bring your completed application to DOPL’s offices (*160 E. 300 S., Main Lobby, Salt Lake City*) to complete electronic fingerprinting using DOPL’s Identix equipment.

OR

Submit **two** applicant fingerprint cards (Form FD-258: white with blue lines) to be used by DOPL for a search through the files of the Bureau of Criminal Identification (BCI) and the Federal Bureau of Investigation (FBI). See “Additional Important Information.”

5. Submit a **\$250.00** non-refundable application-processing fee, made payable to “DOPL.” This fee includes a \$110.00 application fee for a pharmacist license, a \$100 fee for a Utah controlled substance (dispensing) license, a \$20 surcharge for a BCI fingerprint file search, and a \$20 surcharge for a FBI fingerprint file search.

OPTION B. If you are requesting examination eligibility for licensure by endorsement (i.e. you have a current pharmacist license in the state from which you are transferring, have obtained a passing score on the NAPLEX, and only need to take the Utah MPJE), complete the following in addition to submitting a completed application:

1. Request an official “License Transfer” from the National Association of Boards of Pharmacy (NABP), verifying each of the following to Utah. See #7 of “Additional Important Information” below for information in requesting a License Transfer.
 - graduation from a pharmacy school accredited by the Accreditation Council on Pharmacy Education
 - 1,740 approved intern hours obtained in a U.S. state, territory, or province
 - a passing score on the NAPLEX
 - verification of a current pharmacist license in good standing in your current U.S. state, territory, or possession, including indications that your pharmacist license has not been sanctioned
2. If your pharmacy education was completed at a foreign pharmacy school, submit a certificate of equivalency from the Foreign Pharmacy Graduate Examination Committee (FPGEC) of the NABP Foundation. See #4 of “Additional Important Information.”
3. You must submit evidence of lawful practice as a licensed pharmacist for a minimum of 2,000 hours in the 4 years immediately preceding the date of your application to Utah **and** submit evidence that you have obtained sufficient continuing education credits in your current state of practice, **and** have not had a pharmacist license sanctioned in any form for any reason in any state for ten years prior to application in Utah.
4. Obtain a passing score on the Utah MPJE.

NOTE: Full instructions on completing this requirement are listed in #6 of “Additional Important Information.” You must follow the steps exactly as outlined.

Failure to do so will significantly delay the processing of your application and issuance of your Utah license.

5. Submit your completed application to DOPL's offices (*160 E. 300 S., Main Lobby, Salt Lake City*) to complete electronic fingerprinting using DOPL's Identix equipment.

OR

Submit **two** applicant fingerprint cards (Form FD-258: white with blue lines) to be used by DOPL for a search through the files of the Bureau of Criminal Identification (BCI) and the Federal Bureau of Investigation (FBI). See "Additional Important Information."

6. Submit a **\$250.00** non-refundable application-processing fee, made payable to "DOPL." This fee includes a \$110.00 application fee for a pharmacist license, a \$100 fee for a Utah controlled substance (dispensing) license, a \$20 surcharge for a BCI fingerprint file search, and a \$20 surcharge for a FBI fingerprint file search.

OPTION C. If you do not fit the criteria for initial licensure (Option A) AND have not met the criteria for licensure by endorsement (Option B).

NOTE: This option only applies to applicants who have taken NAPLEX, who may have obtained initial licensure in another state, but who have not completed the required 1,500 hours in an approved internship or who cannot document lawful practice as a licensed pharmacist for a minimum of 2,000 hours in the immediate past 2 years.

1. Request an official "License Transfer" from the National Association of Boards of Pharmacy (NABP), verifying each of the following to Utah. See #7 of "Additional Important Information" below for information in requesting a License Transfer.
2. Obtain a passing score on the Utah MPJE.

NOTE: **Full instructions on completing this requirement are listed in #6 of "Additional Important Information." You must follow the steps exactly as outlined. Failure to do so will significantly delay the processing of your application and issuance of your Utah license.**

3. Submit your completed application to DOPL's offices (*160 E. 300 S., Main Lobby, Salt Lake City*) to complete electronic fingerprinting using DOPL's Identix equipment.

OR

Submit **three** applicant fingerprint cards (Form FD-258: white with blue lines) to be used by DOPL for a search through the files of the Bureau of Criminal Identification (BCI) and the Federal Bureau of Investigation (FBI). See "Additional Important Information."

4. Submit a **\$250.00** non-refundable application-processing fee, made payable to "DOPL." This fee includes a \$110.00 application fee for a pharmacist license, a \$100 fee for a Utah controlled substance (dispensing) license, a \$20 surcharge for a BCI fingerprint file search, and a \$20 surcharge for a FBI fingerprint file search.

ADDITIONAL IMPORTANT INFORMATION:

1. **Law and Rules:** You are required to understand all Utah laws and rules pertaining to your practice as a pharmacist in the state of Utah. The following applicable laws and rules are available on the Internet at www.dopl.utah.gov:
 - ❑ Division of Occupational & Professional Licensing Act
 - ❑ General Rule of the Division of Occupational & Professional Licensing
 - ❑ Pharmacy Practice Act
 - ❑ Pharmacy Practice Act Rule
 - ❑ Utah Controlled Substances Act
 - ❑ Utah Controlled Substances Act Rule
2. **Current Documents:** Applications, statutes, rules, and forms are occasionally changed. Go to www.dopl.utah.gov to ensure you have the most recent version of these documents.
3. **Controlled Substance (Dispensing) License:** You must hold a Utah controlled substance license to dispense controlled substances in Utah.
4. **Foreign Pharmacy Graduate Certification Program:** For information concerning the Foreign Pharmacy Graduate Examination Committee Certification Program, contact National Association of Boards of Pharmacy (NABP): 1600 Feehanville Dr., Mount Prospect, IL 66056-6014, www.nabp.net, or (847) 391-4406.
 5. **Requirements for Internship:** Internships completed in the United States must be completed as part of a program accredited by the Accreditation Council on Pharmaceutical Education. Internships completed outside the United States must consist of at least 1,740 hours according to Accreditation Standards and Guidelines for the Professional Program in Pharmacy Leading to the Doctor of Pharmacy Degree Adopted: Effective February 14, 2011. Introductory pharmacy practice experiences (IPPE) must account for not less than 300 hours over the first three professional years. A minimum of 150 hours must be balanced between community pharmacy and institutional health system settings
6. **Examination Instructions (NAPLEX and Utah MPJE):** You must understand and exactly follow the steps outlined below. Failure to do so will significantly delay the processing of your application and issuance of your Utah license.
 - A. **NABP Registration:** Register online with the National Association of Boards of Pharmacy (NABP) at www.nabp.net so your name appears on the testing roster.

NOTE: If you do not have internet access, most schools and libraries provide such access to the public at no cost or a minimal fee or you may call NABP at (847) 391-4406.
 - B. **Examination Fees:** There are separate fees for all examinations. It is the responsibility of the applicant to submit the fees directly to NABP. Registrations will not be processed by NABP until the appropriate fees are paid.
 - C. **NAPLEX (North America Pharmacy Licensing Examination):** All applicants seeking licensure as a pharmacist in Utah must pass the NAPLEX. Before you can sit for this examination, you must be made eligible by DOPL. Once you have completed all requirements for a pharmacy program, submit a complete application for licensure to

DOPL. DOPL will determine candidate eligibility based on the information contained in this application.

Completion of a pharmacy program must be documented by submitting an official transcript indicating graduation from a pharmacy program and conferral of an earned degree.

If you are seeking licensure by endorsement, you must verify your passing score on the NAPLEX by requesting a License Transfer from NABP. (See requirement #1 under the endorsement instructions, which start on page 2 of this application.)

- D. **Score Transfer:** If you already took the NAPLEX in another state, but have not been licensed as a pharmacist in any state, you may request a Score Transfer through the NABP website to have your NAPLEX score sent to Utah. Log-on to www.nabp.net and follow the score transfer directions.

NOTE: MPJE scores are not transferable between states. Therefore, if you took the MPJE in another state, you must still take the Utah MPJE. See below.

- E. **Utah MPJE (Multistate Pharmacy Jurisprudence Examination):** All applicants seeking licensure as a pharmacist in Utah must pass the Utah MPJE. Before you can sit for this examination, you must be made eligible by DOPL. Such eligibility will not be evaluated until you have submitted a full and complete application for licensure to DOPL, including all applicable fees and documentation. MPJE exams are valid for only 1 year.

- F. **Authorization to Test (ATT):** Once you have been made eligible, DOPL will notify NABP directly. NABP will then send an “Authorization to Test” (ATT) to their contracted testing agent. The testing agent will notify you regarding your ATT. (It can take up to three weeks for NABP to send your ATT to the testing agent.) Ensure that your address is correct so you can receive this notification. Address changes must be made to NABP and to DOPL. The ATT is valid for one year from the date of eligibility, approved by DOPL.

- G. **Examination Results:** Test scores will be mailed to the applicant by DOPL. Once these test scores are entered and the processing of your application is complete, a license will be printed and mailed to you. Do not call DOPL requesting your license number or test scores prior to receiving your printed license in the mail. This information will not be given out over the phone.

- H. **Examination Retakes:** If you fail an examination, you must re-register online with NABP and pay the appropriate fees to retake the failed examination. An examination may only be taken every 30 days or more. You must notify DOPL once you have re-registered in order to be made eligible again. You may schedule to retake an examination once you have received another Authorization to Test.

7. **Transfer of Pharmaceutical Licensure:** If you are licensed in another U.S. jurisdiction, you must contact the National Association of Boards of Pharmacy (NABP) for a “Preliminary Application for Transfer of Pharmaceutical Licensure” form. Complete and return it to NABP: 1600 Feehanville Dr., Mount Prospect, IL 66056-6014. You may download a copy of this form from www.nabp.net or call (847) 391-4406. All fees for this process must be paid to

NAPB before license transfer is completed.

NOTE: You must also complete this application for Utah licensure and return it to DOPL with the required fees.

8. **Fingerprint Information:** All applicants are required to undergo a criminal background check and fingerprint search through the files of the Bureau of Criminal Identification (BCI) and the Federal Bureau of Investigation (FBI). **Fingerprint cards that are not complete and/or properly rolled will be rejected, delaying the licensure process.**

To expedite the licensure process, you can obtain electronic fingerprinting at DOPL's offices (160 E. 300 S., Salt Lake City), 8:00 a.m. to 4:30 p.m., Monday through Friday, except holidays. Currently, there is no fee to roll electronic fingerprints for DOPL licensure applicants. A current government issued picture ID is required.

If you are unable to obtain electronic fingerprints at DOPL's office, you must include three (3) blue fingerprint cards (Form FD-258) with your application. Fingerprint cards are supplied with the application if obtained from DOPL. If you downloaded the application from the Internet, you may obtain fingerprint cards from DOPL, the Bureau of Criminal Identification (BCI), or your local police station. **To have your fingerprints rolled onto the blue fingerprint cards, you must go to BCI or a local police station.**

BUREAU OF CRIMINAL IDENTIFICATION (BCI) INFORMATION:

- Check with BCI for pricing of their services
- Walk-ins only; no appointments taken
- Fingerprinting and Photo Services are available from 8:00 a.m. – 5:00 p.m., Monday - Friday except holidays
- Government-issued picture ID required (*driver's license, state ID, passport, etc.*)
- Website: www.bci.utah.gov
- Phone: (801) 965-4569
- Address: 3888 W. 5400 S., Taylorsville, UT 84118
(1/2 block west of Bangerter Highway, behind McDonalds)

WARNING: If information received from the Utah Bureau of Criminal Identification or the Federal Bureau of Investigation indicates that you have failed to accurately disclose your criminal history to the Division of Occupational and Professional Licensing, any pharmacy license issued to you will be immediately and automatically revoked.

REVIEW OF YOUR FBI RECORD: If you wish to challenge the accuracy of the information in your FBI record, you should contact the agency that contributed the information in question. You may also direct the challenge to the FBI, Criminal Justice Information Services (CJIS) Division, Attn. SCU, Mod. D-2, 1000 Custer Hollow Road, Clarksburg, WV 26306. The FBI will forward the challenge to the respective agency.

9. **License Renewal:** All pharmacist licenses expire September 30 of each odd-numbered year. Additionally, your controlled substance license will expire at the same time as your pharmacist license and will also need to be renewed.

Unlike many other states, Utah's license renewal schedule **is not** based on the licensee's date of initial licensure. Under Utah's renewal system, all licenses in each profession expire as a group on the same day every two years. Therefore, the length of a licensee's first renewal cycle depends on how far into the current renewal cycle initial licensure was obtained. Each renewal cycle thereafter is for a full two years. Additionally, the fee paid with this application

for licensure is an application-processing fee only. It does not include a renewal fee. Each licensee is responsible to renew licensure **PRIOR** to the expiration date shown on the current license. Approximately two months prior to the expiration date shown on the license, renewal information is disseminated to each licensee’s last address of record, as provided to DOPL.

10. **Renewal Requirements / Continuing Education:** Each pharmacist is required to complete 30 hours of continuing education in each two-year renewal cycle. Persons licensed during the renewal period are required to complete 1.25 hours of continuing education for each month they are licensed. Of the 30 required hours, at least 1 hour must be in laws and ethics, a minimum of 12 hours must be live, and a minimum of 15 hours must be in drug therapy or patient management. All 30 hours must be approved by the Accreditation Council on Pharmaceutical Education (ACPE) and programs accredited by other nationally recognized healthcare accrediting agencies. It is your responsibility to keep these records. Do not submit them to DOPL unless requested.
11. **Updating Address Information:** It is your responsibility to maintain a current address with DOPL. If your address is incorrect, you will not receive renewal notices or other correspondence. Address changes can be made online at www.dopl.utah.gov.
12. **Name Change:** If you have been licensed by DOPL under any other name, please submit documentation of your name change (i.e. copy of a marriage license or divorce decree).
13. **Acceptable Forms of Payment:** Licensure fees can be paid by check or money order, made payable to “DOPL.” Cash and debit/credit cards (American Express, MasterCard, and Visa) are also accepted in person at DOPL’s main office – but not over the telephone.

14. **Mail Complete Application to:**

By U.S. Mail	Division of Occupational & Professional Licensing P.O. Box 146741 Salt Lake City UT 84114-6741
By Express Mail or In Person	Division of Occupational & Professional Licensing 1 st Floor Lobby 160 E 300 S Salt Lake City UT 84111-2305

15. **Telephone Numbers:** (801) 530-6628 or (866) 275-3675 – Toll-free in Utah
16. **Fax Number:** (801) 530-6511
17. **Email:** doplbureau3@utah.gov

APPLICATION FOR LICENSURE

- OPTION A: Pharmacist – Initial Licensure** (*requesting approval to take NAPLEX and Utah MPJE*)
- OPTION B: Pharmacist by Endorsement** (*requesting approval to take Utah MPJE*)
- OPTION C: Pharmacist Not Eligible for Endorsement** (*requesting approval to take Utah MPJE*)
- Controlled Substance (Required for Dispensing)**

Please list your <u>full legal name</u> as it appears on your driver's license, Social Security Card, etc.				
Last Name:		First Name:		Middle Name:
Social Security Number: - -			Maiden Name:	
I certify under penalty of perjury that:				
<input type="checkbox"/> I am a citizen of the United States and I have a valid US Driver License or US State ID. License/State ID Number: _____ State: __				
<input type="checkbox"/> I am a citizen of the United States currently living outside the United States and do not have a valid US Drivers License or US State ID. Please attach a legible copy of your valid passport or other documentation to verify you are a legal citizen of the United States.				
<input type="checkbox"/> I am a non-citizen of the United States, who is lawfully present in the United States and I have a valid US Drivers License or US State ID. License/State ID Number: _____ State: __				
<input type="checkbox"/> I am a non-citizen of the United States, who is lawfully present in the United States and I do not have a valid US Drivers License or US State ID. Please attach a legible copy of your current and valid government issued document showing evidence of authorization to work in the United States.				
<input type="checkbox"/> I am a foreign national not physically present in the United States.				
Mailing Address:				
City:			State:	ZIP:
<input type="checkbox"/> Male	Date of Birth:	Phone #:	E-Mail:	
<input type="checkbox"/> Female				
List all other licenses, registrations, or certifications issued by any state which you now hold or have ever held in any profession. (<i>Use additional sheets if necessary.</i>)				
Profession:		Issuing State:		
License Number:		License Status:	Issue Date:	
Profession:		Issuing State:		
License Number:		License Status:	Issue Date:	
Profession:		Issuing State:		
License Number:		License Status:	Issue Date:	
Profession:		Issuing State:		

DO NOT WRITE IN THIS SECTION - FOR DIVISION USE ONLY	
License/Certificate Number: _____	
Date License/Certificate Approved: ___/___/___	
Approved By: _____	
Date License/Certificate Denied: ___/___/___	
Denied By: _____	
Reason for Denial/Other Comments: _____	

PHARMACY SCHOOL REQUIREMENT: *(Use additional sheets if necessary.)*

School Name: _____ Dates Attended: _____ To _____

Location: _____

Degree Received: _____ Date of Graduation: _____

School Name: _____ Dates Attended: _____ To _____

Location: _____

Degree Received: _____ Date of Graduation: _____

School Name: _____ Dates Attended: _____ To _____

Location: _____

Degree Received: _____ Date of Graduation: _____

PROFESSIONAL LICENSES:

List all licenses, registrations, or certifications issued by any state that you now hold or have ever held in any regulated occupation or profession. *(Use additional sheets if necessary.)*

Issuing State: _____ Profession: _____

License Status: _____ License Number: _____ Effective Date: _____

Issuing State: _____ Profession: _____

License Status: _____ License Number: _____ Effective Date: _____

Issuing State: _____ Profession: _____

License Status: _____ License Number: _____ Effective Date: _____

Issuing State: _____ Profession: _____

License Status: _____ License Number: _____ Effective Date: _____

Issuing State: _____ Profession: _____

License Status: _____ License Number: _____ Effective Date: _____

AFFIDAVIT and RELEASE AUTHORIZATION

1. I certify that am qualified in all respects for the license for which I am applying in this application.
2. I certify that to the best of my knowledge, the information contained in the application and its supporting document(s) is free of fraud, forgery, misrepresentation, omission of material fact; is truthful, correct, and complete; discloses all material facts regarding the applicant; and that I will update or correct the application as necessary, prior to any action on my application.
3. I authorize all persons, institutions, organization, schools, governmental agencies, employers, references, or any others not specifically included in the preceding characterization, which are set forth directly or by reference in this application, to release to the Division of Occupational and Professional Licensing, State of Utah, any files, records, or information of any type reasonably required for the Division of Occupational and Professional Licensing to properly evaluate my qualifications for licensure/certification/registration by the State of Utah.
4. I understand that it is the continuing responsibility of applicants and licensees to read, understand, and apply the requirements contained in all statutes and rules pertaining to the occupation or profession for which you are applying, and that failure to do so may result in civil, administrative, or criminal sanctions.

Signature of Applicant: _____ Date of Signature: ___/___/_____

OPTION A

INITIAL LICENSURE / NOT ELGIBLE FOR ENDORSEMENT REQUIREMENTS:

(Complete this section if you have never held a pharmacist license in any state or you are not eligible for licensure by endorsement.)

Answer “**yes**” or “**no.**”

_____ I have included official transcripts with this application.

_____ I have completed at least 1,740 intern hours approved by the Utah State Board of Pharmacy.

_____ I have completed at least 1,740 intern hours approved by another pharmacy licensing board.

_____ I have registered with NABP to take the NAPLEX and the Utah MPJE.

Date Registered: ___/___/_____

OPTION B OR C

ENDORSEMENT REQUIREMENTS:

(Complete this section if you currently hold a pharmacist license in another state.)

Answer “**yes**” or “**no**.”

_____ I have requested a License Transfer from NABP. Date Requested: _____

_____ I have registered with NABP to take the Utah MPJE. Date Registered: _____

_____ I have completed 1,740 hours in an approved internship.

_____ I have practiced 2,000 hours in the past 4 years.

_____ I have completed the required number of CE hours in my state of practice.

Number of Hours Completed: _____

PHARMACIST QUALIFYING QUESTIONNAIRE

Answer “yes” or “no” for each question. Do not leave any question blank.

1. _____ Have you ever applied for or received a license, certificate, permit, or registration to practice in a regulated profession under any name other than the name listed on this application?
2. _____ Have you ever been denied the right to sit for a licensure examination?
3. _____ Have you ever had a license, certificate, permit, or registration to practice a regulated profession denied, conditioned, curtailed, limited, restricted, suspended, revoked, reprimanded, or disciplined in any way?
4. _____ Have you ever been permitted to resign or surrender your license, certificate, permit, or registration to practice in a regulated profession while under investigation or while action was pending against you by any health care professional licensing agency, hospital or other health care facility, or criminal or administrative jurisdiction?
5. _____ Are you currently under investigation or is any disciplinary action pending against you now by any licensing agency or governmental agency?
6. _____ Have you ever had hospital or other health care facility privileges denied, conditioned, curtailed, limited, restricted, suspended, or revoked in any way?
7. _____ Have you ever been permitted to resign or surrender hospital or other health care facility privileges, while under investigation or while action was pending against you by any licensing agency, hospital or other health care facility, or criminal or administrative jurisdiction?
8. _____ Is any action related to your conduct or patient care pending against you now at any hospital or health care facility?
9. _____ Have you ever had rights to participate in Medicaid, Medicare, or any other state or federal health care payment reimbursement program denied, conditioned, curtailed, limited, restricted, suspended, or revoked in any way?
10. _____ Have you ever been permitted to resign from Medicaid, Medicare, or any other state or federal health care payment reimbursement program while under investigation or while action was pending against you by any licensing agency, hospital, or other health care facility, or criminal or administrative jurisdiction?
11. _____ Is any action pending against you now by Medicaid, Medicare, or any other state or federal health care payment reimbursement program?
12. _____ Have you ever had a federal or state registration to sell, possess, prescribe, dispense, or administer controlled substances denied, conditioned, curtailed, limited, restricted, suspended or revoked in any way by either the federal Drug Enforcement Administration or any state drug enforcement agency?

(Continued on the next page.)

13. _____ Have you ever been permitted to surrender your registration to sell, possess, prescribe, dispense, or administer controlled substances while under investigation or while action was pending against you by any health care profession licensing agency, hospital or other health care facility, or criminal or administrative jurisdiction?
14. _____ Is any action pending against you now by either the Federal Drug Enforcement Administration or any state drug enforcement agency?
15. _____ Have you been named as a defendant in a malpractice suit?
16. _____ Have you ever had office monitoring, practice curtailments, individual surcharge assessments based upon specific claims history, or other limitations, restrictions, or conditions imposed by any malpractice carrier?
17. _____ Have you ever had any malpractice insurance coverage denied, conditioned, curtailed, limited, suspended, or revoked in any way?
18. _____ If you are licensed in the occupation/profession for which you are applying, would you pose a direct threat to yourself, to your patients or clients, or to the public health, safety, or welfare because of any circumstance or condition?
19. _____ Have you ever been declared by any court of competent jurisdiction incompetent by reason of mental defect or disease and not restored?
20. _____ Have you been terminated from a position because of drug use or abuse within the past five (5) years?
21. _____ Have you ever had a documented case in which you were involved as the abuser in any incident of verbal, physical, mental, or sexual abuse?
22. _____ Are you currently using or have you recently (within 90 days) used any drugs (including recreational drugs) without a valid prescription, the possession or distribution of which is unlawful under the Utah Controlled Substances Act or other applicable state or federal law?
23. _____ Have you ever used any drugs without a valid prescription, the possession or distribution of which is unlawful under the Utah Controlled Substances Act or other applicable state or federal law, for which you have not successfully completed or are not now participating in a supervised drug rehabilitation program, or for which you have not otherwise been successfully rehabilitated?
24. _____ Do you currently have any criminal action pending?
25. _____ Have you pled guilty to, no contest to, entered into a plea in abeyance or been convicted of a misdemeanor in any jurisdiction within the past ten (10) years? Motor vehicle offenses such as driving while impaired or intoxicated must be disclosed but minor traffic offenses such as parking or speeding violations need not be listed.

(Continued on the next page.)

26. _____ Have you ever pled guilty to, no contest to, or been convicted of a felony in any jurisdiction?
27. _____ Have you, in the past ten (10) years, been allowed to plea guilty or no contest to any criminal charge that was later dismissed (i.e. plea in abeyance or deferred sentence)?
28. _____ Have you ever been incarcerated for any reason in any federal, state or county correctional facility or in any correctional facility in any other jurisdiction or on probation/parole in any jurisdiction?



If you answered “yes” to questions 24, 25, 26, 27, or 28 above, you must submit a complete narrative of the circumstances that occurred for EACH and EVERY conviction, plea in abeyance, and/or deferred sentence. You must also attach copies of all applicable police report(s), court record(s), and probation/parole officer report(s).

If you are unable to obtain any of the records required above, you must submit documentation on official letterhead from the police department and/or court indicating that the information is no longer available.

If you have formally expunged a criminal record as evidenced by a court order signed by a judge, you do not need to disclose that criminal history. Expungement orders must be sent to the Bureau of Criminal Identification and the FBI to enable the expungement to be completed and the criminal history eliminated from the records.



If you answered “yes” to any of the above questions, enclose with this application complete information with respect to all circumstances and the final result, if such has been reached.

A “yes” answer does not necessarily mean you will not be granted a license; however, DOPL may request additional documentation if the information submitted is insufficient.